

## **Steel and Aluminum Certification**

For a covered vehicle to be eligible for preferential tariff treatment under the USMCA, the producer must certify to CBP that it complies with the Steel and Aluminum (“S&A”) requirements of the automotive appendix.

**A covered vehicle shall be eligible for preferential tariff treatment only if the producer of the covered vehicle:**

- (i) provides a certification to CBP that the production of covered vehicles by the producer meets the steel and aluminum purchase requirements set forth in Article 6 of the automotive appendix or, if the producer is subject to the alternative staging regime, Articles 6 and 8 of that appendix; and
- (ii) has information on record to support the calculations relied on for the certification.

**For purposes of meeting these requirements:**

- (i) CBP shall ensure that the certification of a producer does not contain omissions or errors before the certification is considered properly filed; and
- (ii) a calculation based on a producer’s preceding fiscal or calendar year is valid for the producer’s subsequent fiscal or calendar year, as the case may be, as set forth in Articles 6 and 8 of the automotive appendix.

Any vehicle producer whose good is the subject of a claim for preferential tariff treatment under the USMCA, must keep records and supporting documents related to the labor value content and steel and aluminum purchasing requirements.

The vehicle producer must retain these records for a period of five years and render them for examination and inspection upon request.

When the filer transmits the SPI “S” to indicate a USMCA claim, the filer is certifying the goods comply with all Rules of Origin and recordkeeping requirements including, as applicable, LVC certification and Steel & Aluminum Certifications.

**The required data elements for the Steel and Aluminum certification are the following:**

- Producer - the producer’s name, address (including country), e-mail address, and telephone number.
- Certifier - the certifier’s name, title, address (including country), telephone number, and email address.
- Producer’s Purchase of Steel - the calculation used to determine that the producer has complied with the steel purchasing requirement. The calculation should include the total value of the vehicle producer’s purchases at the corporate level of steel listed in Table S of the Appendix to 19 CFR part 182, the total value of those purchases that qualify as originating goods, and the resulting percentage.

- Producer's Purchases of Aluminum - the calculation used to determine that the producer complied with the aluminum-purchasing requirement. The calculation should include the total value of the vehicle producer's purchases at the corporate level of aluminum listed in Table S of the Appendix to 19 CFR part 182, the total value of those purchases that qualify as originating goods, and the resulting percentage.
- Calculation Periods - the period of time over which the purchases are made in the calculations above.
- Authorized Signature and Date - the certification must be signed and dated by the certifier.
- **Certifying Statement – include following certifying statement:**

*I certify that, over the relevant period indicated in this document, the producer has satisfied the steel and aluminum purchase requirement as set out in GN 11(k)(v). The information in this document is true and accurate, and I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.*